

Substitute Form PTO-1448 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 20750-0047US1	Application No. 10/573,196
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant Brian Smith, et al.	
		Filing Date March 29, 2007	Group Art Unit 1624
(37 CFR §1.98(b))			

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	1	4477378	Oct. 16, 1984	Gold et al.			
	2	4584293	Apr. 22, 1986	Reiffen et al			
	3	4737495	Apr. 12, 1988	Bomhard et al			
	4	4957914	Sep. 18, 1990	Clark et al.			
	5	5247080	Sep. 21, 1993	Berger et al.			
	6	20030225057	Dec. 4, 2003	Smith et al.			
	7	20050020573	Jan. 27, 2005	Smith et al.			
	8	7105523	Sep. 12, 2006	Stasch et al.			
	9	7157466	Jan. 1, 2007	McClure et al.			
	10	7173037	Feb. 6, 2007	Alonso-Aluja et al			
	11	20070060568	Mar. 15, 2007	Smith et al.			
	12	7211591	May 1, 2007	Tajima et al.			
	13	7229991	Jun. 12, 2007	Merla et al.			
	14	7230024	Jun. 12, 2007	Carpino et al			
	15	7232823	Jun. 19, 2007	Carpino et al.			
	16	20070142357	Jun. 21, 2007	Smith et al.			
	17	2008/0045502	Feb. 21, 2008	Burbsum, B.W et al.			

**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Sub-class	Translation Yes	Translation No
	18	GB 1196229	Jun. 24, 1970	Great Britain				
	19	CH500194	Jan. 29, 1971	Switzerland			X	
	20	DE 1914456	Jun. 16, 1971	Germany			X	GB1196229
	21	GB 1247306	Sep. 22, 1971	Great Britain				
	22	AU 515236	Mar. 26, 1981	Australia				
	23	GB 2133401	Jul. 25, 1984	Great Britain				
	24	DE 3418270	Nov. 21, 1985	Germany			X	US4584293

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Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	25	SU1238732	Jun. 15, 1986	Soviet Union			X	Abstract
	26	EP 0204349	Dec. 10, 1986	Europe				
	27	EP0331130 A1	Sep. 6, 1989	Europe				
	28	EP0331130 B1	Sep. 6, 1989	Europe				
	29	WO199303015	Feb. 18, 1993	WIPO				
	30	EP 0285919 A1	Oct. 12, 1994	Europe				
	31	EP 0285919 B1	Oct. 12, 2994	Europe				
	32	WO2003062205	Jul. 31, 2003	WIPO				
	33	WO2007120517	Oct. 25, 2007	WIPO				

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
	34	Baindur, et al., "(±)-3-allyl-7-halo-8-hydroxy-1-phenyl-2,3,4,5-tetrahydro-1 <i>H</i> -3-benzazepines as Selective High Affinity D1 Dopamine Receptor Antagonists: Synthesis and Structure-Activity Relationship", <i>J. Med. Chem.</i> , 35:67-72 (1992)
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	38	Bremner, "Seven Membered Rings", Institute for Biomolecular Science, Dept. of Chemistry, University of Wollongong, <i>"Progress in Heterocyclic Chemistry 13"</i> , Pergamon Press, Ch. 7:340-77 (2001)
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**Other Documents (include Author, Title, Date, and Place of Publication)**

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	43	Di Giovanni et al., "Serotonin/dopamine interaction – Focus on 5-HT <sub>2C</sub> receptor, a new target of psychotropic drugs" <i>Indian Journal of Experimental Biology</i> , Vol. 40:pages 1344-1352 (2002)
	44	Dhonnchadha, et al., "Anxiolytic-like Effects of 5-HT <sub>2</sub> Ligands on Three Mouse Models of Anxiety", <i>Behavioral Brain Research</i> , 140:203-214 (2003)
	45	Dixit et al., "gents Acting on Central Nervous System Part XXIII-2-Substituted 1, 2, 3, 4, 6, 7, 12, 12a-Octahydropyrazino[2,1-b][3] benzazepines & 3-Substituted 1, 2, 3, 4, 4a, 5, 6, 11-Octahydropyrazin[1,2-b][2] benzazepines", <i>CDRI Communication No. 1969</i> , 893-97 (1974)
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	48	Garrison, "Defining obesity: An adventure in cardiovascular disease epidemiology", <i>Journal of Nutritional Biochemistry</i> (1998), 9(9), 493-500
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	51	Green and Wuts, et al., "Protective Groups in Organic Synthesis", 3 <sup>rd</sup> Ed., Wiley and Sons (1999)*
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Other Documents (include Author, Title, Date, and Place of Publication)			
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	61	Lin, et al., "Benzindene Prostaglandins. Synthesis of Optically Pure 15-Deoxy-U-68,215 and its Enantiomer via a Modified Intramolecular Wadsworth-Emmons-Wittig Reaction", <i>J. Org. Chem.</i> , 52(25):5594-601 (1987)	
	62	Martin et al. "5-HT <sub>2C</sub> receptor agonists pharmacological characteristics and therapeutic potential", <i>Journal of Pharmacology and Experimental Therapeutics</i> (1998), 286(2), 913-924	
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Substitute Form PTO-1440 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 20750-0047US1	Application No. 10/573,196
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	83	Yonemitsu, et al., "Photocyclization of Pharmacodynamic Amines. II. X-Ray Analysis of a Noncentrosymmetric Tetracyclic Indole", Journal of the American Chemical Society, 90(23):6522-3 (1968)	
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\*Due to the voluminous nature of the reference, a copy of the same is not being submitted herewith. A copy will be submitted upon request.

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